

# A Provably Secure Certificate Based Ring Signature Without Pairing

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(Received Aug. 1, 2012; revised and accepted May 10 & July 5, 2013)

## Abstract

In Eurocrypt 2003, Gentry introduced the notion of certificate-based encryption. The merit of certificate-based encryption lies in implicit certificate and no private key escrow. This feature is desirable especially for the efficiency and the real spontaneity of ring signature, which involve a large number of public keys in each execution. In this paper, we propose an efficient certificate-based ring signature scheme which does not require any pairing computation. Furthermore, this scheme is proven secure under the Discrete Logarithm assumption in the random oracle model. To the best of authors' knowledge, this is the first construction of certificate-based ring signature scheme in the literature that has such kind of feature.

*Keywords:* Certificate-based signature, provable Security, random Oracle, ring signature

## 1 Introduction

Ring signature, introduced by Rivest, Shamir and Tauman [21], is characterized by two main properties: anonymity and spontaneity. Anonymity in ring signature means 1-out-of- $n$  signer verifiability, which enables the signer to keep anonymous in these "rings" of diverse signers. Spontaneity is a property which makes distinction between ring signatures and group signatures [7]. In group signature schemes, there exists a trusted third party (TTP), usually known as the group manager, who handles the joining of group members by interacting with them. In ring signature schemes, no such trusted party exists and the rest of the  $n - 1$  members in the ring are totally unaware that they have been included in the ring. These two properties make ring signatures widely applicable to

various cryptographic schemes. Taking the example of concurrent signatures [8, 10] which is a partial solution to the fair exchange of signatures without TTPs, anonymity provides the signer-ambiguity of signatures and the spontaneity enables concurrent signature a solution without TTPs. The survey of ring signatures and related applications can be found in [9, 20, 24].

However, the theory of ring signature faces some problems when it comes to reality. The public key of user is usually a "random" string that is unrelated to the identity of the user in traditional public key infrastructure (PKI), so there is a trusted-by-all certificate authority (CA) to assure the relationship between the cryptographic keys and the user. As a result, any verifier of a signature must obtain and verify the user's certificate before checking the validity of the signature. The communication and the validation of a large number of public keys greatly affect the efficiency of the ring signature. Furthermore, the signer cannot spontaneously conscript users who have not registered for a certificate in traditional PKI.

ID-based cryptography which was introduced in 1984 by Shamir [22] solved these problems: the public key of each user is easily computable from a string corresponding to this user's identity, (such as an email address), while the private key associated with that identity is computed and issued secretly to the user by a trusted third party called private key generator (PKG). This property avoids the necessity of certificates, and associates an implicit public key to each person over the world. So ID-based ring signature has rapidly emerged in recent years and been well studied as well. Zhang and Kim proposed the first ID-based ring signature [27]. After that, Lin and Wu [17] gave a more efficient construction, while Awasthi and Lal [2] pointed out and fixed some small inconsistencies in [27] and [17]. An ID-based ring

signature scheme for anonymous subsets (i.e. 1-out-of- $n$ -groups instead of 1-out-of- $n$ -individuals) was presented by Herranz and Germán [14]. Then, Chow and Yiu [11] achieved a constant number of pairing computations and Nguyen [19] also realized a constant size signature. Besides, various research work on its extensions [12, 26] and applications [23, 25] has already appeared. However, an inherent problem of ID-based cryptosystems is key escrow, i.e., the PKG knows users' private key. A malicious PKG can frame an innocent user by forging the user's signature. Due to this inherent problem, ID-based cryptosystems are considered to be suitable only for private networks [22]. Thus, eliminating key escrow in ID-based cryptosystems is essential to make them more applicable in the real world.

To integrate the merits of ID-PKC into traditional PKI, Gentry [13] proposed a paradigm called certificate-based encryption (CBE) in 2003. The CBE combines a traditional public key encryption scheme and an ID-based encryption scheme between a certifier and a user. In CBE, each user generates his own private and public keys and requests a certificate from the CA while the CA uses the key generation algorithm of an ID-based encryption scheme [5] to generate the certificate. The certificate is implicitly used as part of the user decryption key, which is composed of the user-generated private key and the certificate. Although the CA knows the certificate, it does not have the user private key. Thus it cannot decrypt any cipher texts. In addition to CBE, the notion of certificate-based signature (CBS) was first introduced by Kang *et al.* [15]. However, one of their proposed schemes was found insecure against key replacement attack, as pointed out by Li *et al.* [16]. They also proposed a concrete scheme. Furthermore, Au *et al.* [1] proposed the first certificate-based (linkable) ring signature (CBRS) scheme. Unfortunately, all of these CBS and CBRS schemes are derived from bilinear pairings, a powerful but computationally expensive primitive. Furthermore, the pairing has not yet been enjoyed the same exposure to cryptanalytic attacks by experts as other older problems from number theory such as discrete logarithms, factoring and RSA [4, 29]. And the implementations of pairings are much harder than these of exponentiation in a RSA group. Therefore, the construction of certificate-based ring signature scheme without pairing is of great interest in the field of cryptography.

Recently, Liu *et al.* [18] and Zhang *et al.* [28] proposed certificate-based signature scheme without pairings independently. However, to the best of author's knowledge, certificate-based ring signature without pairings has not been treated in the literature until now. Our current work is aimed at filling this void. An efficient certificate-based ring signature scheme without pairings is proposed in our paper. Meantime, the proposed scheme is proven to be existential unforgeable using Discrete Logarithm assumption under the random oracle model.

The rest of this paper is organized as follows. A brief review of some preliminaries required throughout the pa-

per in Section 2. A concrete certificate-based ring signature without pairings is proposed in Section 3 and the security of our scheme is analyzed in Section 4. Finally, the conclusions are given in Section 5.

## 2 Preliminaries

In this section, we will review some fundamental backgrounds required in this paper, namely the certificate-based ring signature definition and complexity assumptions.

### 2.1 Mathematical Assumption

**Definition 1. (Discrete Logarithm (DL) Assumption).** Given a group  $\mathcal{G}$  of prime order  $q$  with generator  $g$  and elements  $A \in \mathcal{G}$ , the DL problem in  $\mathcal{G}$  is to output  $\alpha \in \mathbb{Z}_q$  such that  $A = g^\alpha$ .

An adversary  $\mathcal{B}$  has at least an  $\epsilon$  advantage if  $\Pr[\mathcal{B}(g, A) = \alpha | A = g^\alpha] \geq \epsilon$ . We say that the  $(\epsilon, t)$ -DL assumption holds in a group  $\mathcal{G}$  if no algorithm running in time at most  $t$  can solve that DL problem in  $\mathcal{G}$  with advantage at least  $\epsilon$ .

### 2.2 The Concept of Certificate-based Ring Signature Schemes

A certificate-base ring signature scheme is a tuple (Setup, UserKeyGen, CertGen, Ring-Sign and Verify) of polynomial-time algorithm. The first four algorithms may be randomized but the last one is usually not.

- 1) **Setup:** On input  $1^k$  where  $k \in \mathbb{N}$  is a security parameter, it generates a master public/secret key pair  $(mpk, msk)$ . It also outputs a public parameters **params** which is shared in the system.
- 2) **UserKeyGen:** On input the master public key  $mpk$ , the system parameter **params**, and the user identity  $ID \in \{0, 1\}^*$ , it generates a user  $ID$ 's secret/public key pair  $(usk_{ID}, upk_{ID})$ .
- 3) **CertGen:** On input master secret key  $msk$ , the system parameter **params**, the identity  $ID$  of a user and its public key  $upk_{ID}$ , it generates a certificate  $cert_{ID}$ .
- 4) **Ring-Sign:** This algorithm takes as input a message  $m \in \{0, 1\}^*$ , a set of  $n$  group members whose identities form the set  $L_{ID} = \{ID_1, \dots, ID_n\}$  and their corresponding public keys form the set  $L_{upk} = \{upk_{ID_1}, \dots, upk_{ID_n}\}$ , a public parameters **params**, a signer  $ID_s$ 's secret key  $usk_{ID_s}$  and its certificate  $cert_{ID_s}$  to generate a signature  $\sigma$ . Here  $ID_s$  is the  $s$ -th group member of  $L_{ID}$ .
- 5) **Verify:** On input  $mpk$ , the system parameter **params**, the set  $L_{ID}$  of the group members' identities and the set  $L_{upk}$  of the corresponding public keys of the group members, message  $m$  and signature  $\sigma$ , it returns 1 for accept or 0 for reject.

In practice, the CA could be the one who performs **Setup** and **CertGen**. The master public key  $mpk$  and the system parameter  $params$  will then be published and assumed that everyone in the system has gotten a legitimate copy of it. For each user in the system, the user is supposed to be able to carry out **UserKeyGen**, **Ring-Sign**, and **Verify**. Note that a certificate-base ring signature scheme should satisfy the obvious correctness conditions (that a honest signed ring signature should be verified as valid).

## 2.3 Security Models of Certificate-based Ring Signature Schemes

Combining the security model of certificate-based public key cryptography and security models of ring signature schemes in traditional PKI and ID-PKC, we define the security of certificate-based ring signature scheme as follows. There are two types of security for a certificate-based ring signature scheme, Type-I security and Type-II security, along with two types of adversaries,  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , respectively. Adversary  $\mathcal{A}_1$  models a malicious adversary which compromises the user secret key  $usk_{ID}$  or replace the user public key  $upk_{ID}$ , however, cannot compromise the master secret key  $msk$  nor get access to the user certificate  $cert_{ID}$ [16]. Adversary  $\mathcal{A}_2$  models the malicious-but-passive CA who controls the generation of the master public/secret key pair, and that of any user certificate  $cert_{ID}$ . We define two games, one for  $\mathcal{A}_1$  and the other one for  $\mathcal{A}_2$ .

### Game I: Unforgeability of Certificate-based Ring Signature Against Type I Adversary $\mathcal{A}_1$

*Setup:* Let  $\mathcal{S}_1$  be the game simulator/challenger and  $k \in \mathbb{N}$  be a security parameter.  $\mathcal{S}_1$  first executes **Setup**( $1^k$ ) to get  $(mpk, msk)$  and the system parameters  $params$ .  $\mathcal{S}_1$  then sends  $params$  and  $mpk$  to the adversary  $\mathcal{A}_1$  while keeping the  $msk$  as secret. In addition,  $\mathcal{S}_1$  will maintain three lists  $L_1$ ,  $L_2$  and  $L_3$  where

- 1)  $L_1$  is used to record the identities which have been chosen by  $\mathcal{A}_1$  in the **CertGen** queries.
- 2)  $L_2$  is used to record the identities whose public keys have been replaced by  $\mathcal{A}_1$ .
- 3)  $L_3$  is used to record the identities which have been chosen by  $\mathcal{A}_1$  in the **Corruption** queries.

All of these three lists are the empty set  $\phi$  at the beginning of the game.

*Query:* During the simulation,  $\mathcal{A}_1$  can adaptively make a polynomially bounded number of queries as defined below:

- 1) **UserKeyGen:** On input an identity  $ID \in \{0, 1\}^*$ , if ID has already been created, nothing is to be carried out. Otherwise,  $\mathcal{S}_1$  generates  $(upk_{ID}, usk_{ID}) \leftarrow \text{UserKeyGen}(mpk, ID, params)$ . In both cases,  $upk_{ID}$  is returned.

- 2) **CertGen:**  $\mathcal{A}_1$  can request the certificate of any user whose identity is  $ID$ . In respond,  $\mathcal{S}_1$  first resets  $L_1 = L_1 \cup \{ID\}$ , then runs the algorithm **CertGen** and outputs the certificate  $cert_{ID}$  as answer.
- 3) **ReplaceKey:** On input an identity  $ID$  and a user public key  $upk^*$ ,  $\mathcal{S}_1$  searches  $L_2$  for the entry of  $ID$ . If it is not found, nothing will be carried. Otherwise,  $\mathcal{S}_1$  updates  $(ID, upk_{ID})$  to  $(ID, upk^*_{ID})$  and resets  $L_2 = L_2 \cup \{ID\}$ .
- 4) **Corruption:**  $\mathcal{A}_1$  can request the secret key of any user whose identity is  $ID$ . In respond,
  - a.  $\mathcal{S}_1$  first checks the set  $L_2$ . If  $ID \in L_2$  (that is, the public key of the user  $ID$  has been replaced),  $\mathcal{S}_1$  will return the symbol  $\perp$  which means  $\mathcal{S}_1$  cannot output the secret key of an identity whose public key has been replaced.
  - b. Otherwise,  $ID \notin L_2$  and  $\mathcal{S}_1$  resets  $L_3 = L_3 \cup \{ID\}$ .  $\mathcal{S}_1$  then runs the algorithm **UserKeyGen** and outputs the secret key  $usk_{ID}$  as answer.
- 5) **Ring-Sign:** On input a message  $m \in \{0, 1\}^*$  on behalf of a group whose identities are listed in the set  $L_{ID} = \{ID_1, \dots, ID_n\}$  and the corresponding public keys are in the set  $L_{upk} = \{upk_{ID_1}, \dots, upk_{ID_n}\}$ ,  $\mathcal{S}_1$  outputs a ring signature  $\sigma$  for the message  $m$ . It is required that the algorithm **Verify** will output 1 for the input  $(mpk, L_{ID}, L_{upk}, m, \sigma)$ .

*Forgery:* Finally,  $\mathcal{A}_1$  is to output  $(L_{ID}^*, L_{upk}^*, m^*, \sigma^*)$  as the forgery. We say that  $\mathcal{A}_1$  wins if  $\text{Verify}(mpk, L_{ID}^*, L_{upk}^*, m^*, \sigma^*) = 1$  for the oracle **Ring-Sign** has never been queried with  $(L_{ID}^*, L_{upk}^*, m^*)$ . One additional restriction is that  $L_{ID}^* \cap L_1 \cap L_2 = \phi$  and  $L_{ID}^* \cap L_3 = \phi$ .

### Game II: Unforgeability of Certificate-based Ring Signature Against Type II Adversary $\mathcal{A}_2$

*Setup:* Let  $\mathcal{S}_2$  be the game challenger and  $k \in \mathbb{N}$  be a security parameter.  $\mathcal{S}_2$  executes  $\mathcal{A}_2$  on input  $1^k$ , which returns a master public/secret key pair  $(mpk, msk)$  to  $\mathcal{A}_2$ . Note that  $\mathcal{A}_2$  cannot make any query at this stage.  $\mathcal{S}_2$  will maintain two lists  $L_1$ ,  $L_2$  where

- 1)  $L_1$  is used to record the identities whose public keys have been replaced by  $\mathcal{A}_2$ .
- 2)  $L_2$  is used to record the identities which have been chosen by  $\mathcal{A}_2$  in the **Corruption** queries.

*Query:* During this stage of simulation,  $\mathcal{S}_2$  can make queries onto oracle **Corruption**, **ReplaceKey** and **Ring-Sign**.  $\mathcal{A}_2$  can also make queries to **UserKeyGen**. Note that oracle **CertGen** is not accessible and no longer needed as  $\mathcal{A}_2$  has the master secret key.

*Forgery:* At the end of **Game II**,  $\mathcal{A}_2$  is to output a triple  $(L_{ID}^*, L_{upk}^*, m^*, \sigma^*)$ .  $\mathcal{A}_2$  wins if  $\text{Verify}(mpk, L_{ID}^*, L_{upk}^*, m^*, \sigma^*) = 1$  for the oracle **Sign** has never been queried

with  $(L_{ID}^*, L_{upk}^*, m^*)$ . One additional restriction is that  $L_{ID}^* \cap L_1 = \phi$  and  $L_{ID}^* \cap L_2 = \phi$ .

**Definition 2.** A certificate-based ring signature scheme is existentially unforgeable under adaptively chosen-message attack iff the success probability of any polynomially bounded adversary in the **Game I** and **Game II** is negligible.

**Definition 3.** A certificate-based ring signature scheme is said to have the unconditional signer ambiguity if for any group of  $n$  users whose identities form the set  $L_{ID}$  and their corresponding public keys form the set  $L_{upk}$ , any message  $m$  and any signature  $\sigma = \text{Ring-Sign}(L_{ID}, L_{upk}, \text{cert}_{ID_s}, \text{usk}_{ID_s}, m)$ ; any verifier  $\mathcal{V}$  even with unbounded computing resources, cannot identify the actual signer with probability better than a random guess. That is,  $\mathcal{V}$  can only output the identity of the actual signer with probability no better than  $\frac{1}{n}$  ( $\frac{1}{n-1}$  if  $\mathcal{V}$  is in the signers group).

### 3 A Certificate-based Ring Signature Scheme without Pairing

In this section, we will give the concrete construction of a certificate-based ring signature scheme. In our scheme, we employ some ideas of the certificate-based signature scheme in [18], the ID-based ring signature scheme in [14]. Our certificate-based ring signature consists of the following algorithms:

- 1) **Setup:** Let  $\mathcal{G}$  be a multiplicative group with order  $q$ . The CA selects a random generator  $g \in \mathcal{G}$  and randomly chooses  $x \in_R \mathbb{Z}_q^*$  as the master secret key. It sets  $X = g^x$ . Let  $H : \{0, 1\}^* \rightarrow \mathbb{Z}_q^*$  be a cryptographic hash function. The public parameters are given by  $\text{params} = (\mathcal{G}, q, g, X, H)$ .
- 2) **UserKeyGen:** User  $ID_i$  selects a secret value  $u_i \in \mathbb{Z}_q^*$  as his secret key  $\text{usk}_{ID_i}$ , and computes his public key  $\text{upk}_{ID_i} = (g^{u_i}, X^{u_i}, \pi_{u_i})$  where  $\pi_{u_i}$  is the following non-interactive proof-of-knowledge (PoK)<sup>1</sup>:

$$PK\{(u) : U_1 = g^{u_i} \wedge U_2 = X^{u_i}\}. \quad (1)$$

- 3) **CertGen:** Let  $\tilde{h}_i = H(\text{upk}_{ID_i}, ID_i)$  for user  $ID_i$  with public key  $\text{upk}_{ID_i}$  and binary string  $ID_i$  which is used to identify the user. To generate a certificate for user  $ID_i$ , the CA randomly chooses  $r \in_R \mathbb{Z}_q^*$ , computes  $R = g^r$  and  $k_i = r^{-1}(\tilde{h}_i - xR) \bmod q$ . The certificate is  $(R, k_i)$ . Note that a correctly generated certificate should satisfy the following equality:

$$R^{k_i} X^R = g^{\tilde{h}_i}. \quad (2)$$

<sup>1</sup>We refer to [6] for a more comprehensive description of notation and implementation of PoK.

- 4) **Ring-Sign:** Suppose there is a group of  $n$  users whose identities form the set  $L_{ID} = \{ID_1, \dots, ID_n\}$ , and their corresponding public keys form the set  $L_{upk} = \{\text{upk}_{ID_1}, \dots, \text{upk}_{ID_n}\}$ . To sign a message  $m \in \{0, 1\}^*$  on behalf of the group, the actual signer, indexed by  $s$  using the secret key  $\text{usk}_{ID_s}$  and the certificate  $\text{cert}_{ID_s}$ , performs the following steps.

- a. For each  $i \in \{1, \dots, n\} \setminus \{s\}$ , selects  $y_i \in_R \mathbb{Z}_q^*$  uniformly at random and computes  $Y_i = R^{-y_i}$ .
- b. Compute  $h_i = H(m \| L_{upk} \| L_{ID} \| Y_i)$  for  $i \in \{1, \dots, n\} \setminus \{s\}$ .
- c. Choose  $y_s \in_R \mathbb{Z}_q^*$ , computes  $Y_s = R^{-y_s} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} \prod_{i \neq s} (X^{u_i})^{-h_i R}$ .
- d. Compute  $h_s = H(m \| L_{upk} \| L_{ID} \| Y_s)$ .
- e. Compute  $z = (\sum_{i=1}^n y_i + h_s k_s u_s) \bmod q$ .
- f. Output the ring signature on  $m$  as  $\sigma = \{Y_1, \dots, Y_n, z\}$ .

- 5) **Verify:** To verify a ring signature  $\sigma = \{Y_1, \dots, Y_n, z\}$  on a message  $m$  with identities in  $L_{ID}$  and corresponding public keys in  $L_{upk}$ , the verifier performs the following steps.

- a. Check whether  $\pi_{u_i}$  is a valid PoK. If not, outputs  $\perp$ , Otherwise, run the next step.
- b. Compute  $h_i = H(m \| L_{upk} \| L_{ID} \| Y_i)$  and  $\tilde{h}_i = H(\text{upk}_{ID_i}, ID_i)$  for all  $i \in \{1, \dots, n\}$ .
- c. Check whether

$$\prod_{i=1}^n (g^{u_i})^{h_i \tilde{h}_i} \stackrel{?}{=} R^z Y_1 \dots Y_n \prod_{i=1}^n (X^{u_i})^{h_i R} \quad (3)$$

- d. Accept the ring signature as valid and outputs 1 if Equation (3) holds, otherwise, output 0.

## 4 Analysis of the Proposed Scheme

In this section, we will analyze our proposed scheme in detail.

### 4.1 Correctness

The correctness of the proposed scheme can be easily verified with the following:

$$\begin{aligned} & \prod_{i=1}^n (g^{u_i})^{h_i \tilde{h}_i} \\ &= g^{h_s u_s \tilde{h}_s} g^{-h_s u_s x R} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} g^{x u_s h_s R} \\ &= g^{r h_s u_s r^{-1} (\tilde{h}_s - x R)} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} g^{x u_s h_s R} \\ &= R^{h_s k_s u_s} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} X^{u_s h_s R} \end{aligned}$$

$$\begin{aligned}
&= R^{\sum_{i=1}^n y_i + h_s k_s u_s} \prod_{i \neq s} R^{-y_i} \cdot R^{-y_s} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} \\
&\quad \prod_{i \neq s} (X^{u_i})^{-h_i R} \prod_{i=1}^n (X^{u_i})^{h_i R} \\
&= R^z Y_1 \cdot \dots \cdot Y_n \sum_{i=1}^n (X^{u_i})^{h_i R} \quad (4)
\end{aligned}$$

## 4.2 Unconditional Anonymity

Let  $\sigma = \{Y_1, \dots, Y_n, z\}$  be a valid ring signature on a message  $m$  on behalf of a group of  $n$  members specified by identities in  $L_{ID}$  and public keys in  $L_{upk}$ . Since all the  $y_i$ ,  $i \in \{1, \dots, n\} \setminus \{s\}$  are randomly generated, hence all  $Y_i$ ,  $i \in \{1, \dots, n\} \setminus \{s\}$  are also uniformly distributed. The randomness of  $y_s$  chosen by the signer implies  $Y_s = R^{-y_s} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} \prod_{i \neq s} (X^{u_i})^{-h_i R}$  is also uniformly distributed. So  $(Y_1, \dots, Y_n)$  in the signature reveals no information about the signer.

It remains to consider whether  $z = \sum_{i=1}^n y_i + h_s k_s u_s$  leaks information about the actual signer. From the construction of  $z$ , it is obvious to see that  $k_s u_s = h_s^{-1}(z - \sum_{i=1}^n y_i)$ . To identify whether  $ID_s$  is the identity of the actual signer, the only way is to check  $R^{k_s u_s} \stackrel{?}{=} R^{h_s^{-1}(z - \sum_{i=1}^n y_i)}$ . If  $ID_s$  is the identity of the actual signer, it should hold  $Y_s = R^{-y_s} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} \prod_{i \neq s} (X^{u_i})^{-h_i R}$ . It remains to check

$$R^{k_s u_s} \stackrel{?}{=} (R^z Y_1 \cdot \dots \cdot Y_n \prod_{i \neq s} (g^{-u_i})^{h_i \tilde{h}_i} \prod_{i \neq s} (X^{u_i})^{h_i R})^{h_s^{-1}} \quad (5)$$

However, we have for each  $j \in \{1, \dots, n\}$

$$\begin{aligned}
&(R^z Y_1 \cdot \dots \cdot Y_n \prod_{i \neq j} (g^{-u_i})^{h_i \tilde{h}_i} \prod_{i \neq j} (X^{u_i})^{h_i R})^{h_j^{-1}} \\
&= (R^{\sum_{i=1}^n y_i + h_s k_s u_s} R^{-\sum_{i=1}^n y_i} \prod_{i \neq s} (g^{u_i})^{h_i \tilde{h}_i} \\
&\quad \prod_{i \neq s} (X^{u_i})^{-h_i R} \prod_{i \neq s} (g^{-u_i})^{h_i \tilde{h}_i} \prod_{i \neq s} (X^{u_i})^{h_i R} \\
&\quad g^{-u_s h_s \tilde{h}_s} X^{u_s h_s R} g^{u_j h_j \tilde{h}_j} X^{-u_j h_j R})^{h_j^{-1}} \\
&= (R^{h_s u_s r^{-1}(\tilde{h}_s - xR)} g^{-u_s h_s \tilde{h}_s} X^{u_s h_s R} \\
&\quad g^{u_j h_j \tilde{h}_j} X^{-u_j h_j R})^{h_j^{-1}} \\
&= (g^{u_j h_j \tilde{h}_j} X^{-u_j h_j R})^{h_j^{-1}} \\
&= g^{u_j \tilde{h}_j} X^{-u_j R} \\
&= g^{u_j \tilde{h}_j - x u_j R} \\
&= R^{k_j u_j} \quad (6)
\end{aligned}$$

where  $ID_s$  is the identity of the actual signer. The fact is that  $z$  in the signature does not leak any information about the identity of the actual signer. And hence, the unconditional ambiguity of our proposed ring signature is proved.

## 4.3 Unforgeability

Assuming that the DL assumption is hard, we now show that the unforgeability of our certificate-based ring signature.

**Theorem 1 (Unforgeability against Game I Adversary).** *If a probabilistic polynomial-time forger  $\mathcal{A}_1$  has an advantage  $\varepsilon$  in forging a certificate-based ring signature in an attack modeled by **Game I** after running in time  $t$  and making  $q_h$  queries to hashing queries,  $q_u$  queries to the **UserKeyGen** request oracle,  $q_{cert}$  queries to the **CertGen** extraction oracle,  $q_r$  queries to the **Replace** extraction oracle,  $q_{cor}$  queries to the **Corruption** extraction oracle, and  $q_s$  queries to the **Ring-Sign** oracle, then the DL problem can be solved with probability  $\varepsilon' = (1 - \frac{q_h(q_{cert} + nq_s)}{q})(1 - \frac{1}{q})(\frac{1}{q_h})\varepsilon$  with time  $t' < 2(t + q_h T_h + q_u T_u + q_{cert} T_{cert} + q_{cor} T_{cor} + q_r T_r + q_s T_s)$ , where  $T_h$  (resp.  $T_u$ ,  $T_{cert}$ ,  $T_{cor}$ ,  $T_s$  and  $T_r$ ) is the time cost of an Hash query (resp. **UserKeyGen**, **CertGen**, **Corruption**, **Ring-Sign** and **Replace** query).*

*Proof.* Here we follow the idea from [3, 18]. Assume there exists a forger  $\mathcal{A}_1$ . We construct an algorithm  $\mathcal{S}_1$  that makes use of  $\mathcal{A}_1$  to solve DL problem.  $\mathcal{S}_1$  is given a multiplicative group  $\mathcal{G}$  with generator  $g$  and order  $q$ , and a group element  $A \in \mathcal{G}$ .  $\mathcal{S}_1$  is asked to find  $\alpha \in \mathbb{Z}_q$  such that  $g^\alpha = A$ .

### Setup.

$\mathcal{S}_1$  chooses a hash function  $H : \{0, 1\}^* \rightarrow \mathbb{Z}_q^*$  which behaves like a random oracle.  $\mathcal{S}_1$  is responsible for the simulation of this random oracle.  $\mathcal{S}_1$  assigns  $X = A$  and outputs the public parameter  $\text{params} = (\mathcal{G}, q, g, X, H)$  to  $\mathcal{A}_1$ .  $\mathcal{S}_1$  also keeps three lists  $L_1, L_2, L_3$ , the functions of these lists are the same as mentioned in **Game I** in Section 2.

### UserKeyGen/Corruption.

*Query:* Whenever receiving a query  $ID$ ,  $\mathcal{S}_1$  generates the secret and public key pair according to the algorithm and stores in the table and outputs the public key. On the corruption query,  $\mathcal{S}_1$  sets  $L_3 = L_3 \cup \{ID\}$  and returns the corresponding secret key.

### CertGen.

*Query:*  $\mathcal{A}_1$  is allowed to make certification query for a public key  $upk_{ID}$  with identification string  $ID$ .  $\mathcal{S}_1$  simulates the oracle as follow. It randomly chooses  $a, b \in_R \mathbb{Z}_q^*$  and sets  $R = X^a g^b$ ,  $k = -a^{-1}R \bmod q$ , and  $H(upk_{ID}, ID) = bk \bmod q$ . Note that  $(R, k)$  generated in this way satisfies Equation (2) in the **CertGen** algorithm and it is a valid certificate.  $\mathcal{S}_1$  sets  $L_1 = L_1 \cup \{ID\}$ , outputs  $(R, k)$  as the certificate of  $upk_{ID}$ ,  $ID$  and store the value of  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$  in the table for consistency. Later if  $\mathcal{A}_1$  queries the  $H$  random oracle for  $(upk_{ID}, ID)$ ,  $\mathcal{S}_1$  outputs the same value. If  $(upk_{ID}, ID)$  is not found in the table,  $\mathcal{S}_1$  executes the **CertGen** oracle simulation, stores the

value  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$  in the table and output  $H(upk_{ID}, ID)$  only.

**ReplaceKey.**

*Query:* Suppose  $\mathcal{A}_1$  makes the query with an input  $(ID, upk'_{ID})$ ,  $\mathcal{S}_1$  first makes a **CertGen** query to obtain an item  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$ , then sets  $L_2 = L_2 \cup \{ID\}$ ,  $upk_{ID} = upk'_{ID}$ , and updates the item  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$  to record this replacement.

**Ring-Sign.**

*Query:*  $\mathcal{A}_1$  chooses a group of  $n$  users whose identities form the set  $L_{ID} = \{ID_1, \dots, ID_n\}$ , and their corresponding public keys form the set  $L_{upk} = \{upk_{ID_1}, \dots, upk_{ID_n}\}$ , and may ask a ring signature on a message  $m$  of this group. On receiving a **Ring-Sign** query  $RS(m, L_{ID}, L_{upk})$ ,  $\mathcal{S}_1$  creates a ring signature as follow.

- 1) Choose a random index  $s \in \{1, \dots, n\}$ .
- 2) For all  $i \in \{1, \dots, n\} \setminus \{s\}$ , choose  $y_i \in \mathbb{Z}_q^*$  uniformly at random, compute  $Y_i = R^{-y_i}$ .
- 3) For all  $i \in \{1, \dots, n\} \setminus \{s\}$ , compute  $h_i = H(m \| L_{upk} \| L_{ID} \| Y_i)$ .
- 4) Choose  $h_s \in \mathbb{Z}_q^*$ ,  $z \in \mathbb{Z}_q^*$  at random.
- 5) Compute  $Y_s = \prod_{i=1}^n (g^{u_i})^{h_i \tilde{h}_i} / R^z \prod_{i \neq s} Y_i \sum_{i=1}^n (X^{u_i})^{h_i R}$ .
- 6) Set  $h_s = H(m \| L_{upk} \| L_{ID} \| Y_s)$ .
- 7) Return  $(m, L_{ID}, L_{upk}, \sigma = (\{Y_1, \dots, Y_n, z\}))$  as answer.

*Forgery:* Finally,  $\mathcal{A}_1$  outputs a tuple  $(m^*, L_{ID}^*, L_{upk}^*, \sigma_{(1)}^* = (Y_1^*, \dots, Y_n^*, z_{(1)}^*))$  which means  $\sigma^*$  is a ring signature on message  $m^*$  on behalf of the group specified by identities in  $L_{ID}^*$  and the corresponding public keys in  $L_{upk}^*$ . It is required that  $\mathcal{S}_1$  does not know the secret key of any member in this group,  $L_{ID}^* \cap ((L_1 \cap L_2) \cup L_3) = \perp$  and the ring signature  $\sigma^*$  on message  $m^*$  on behalf of the group must be valid.  $\mathcal{S}_1$  rewinds  $\mathcal{A}_1$  to the point  $H(m^* \| L_{upk}^* \| L_{ID}^* \| Y_1^*)$  and supplies with a different value (corresponding to the same input value to the hash query).  $\mathcal{A}_1$  outputs another pair of signature  $(m^*, L_{ID}^*, L_{upk}^*, \sigma_{(2)}^* = (Y_1^*, \dots, Y_n^*, z_{(2)}^*))$ .  $\mathcal{S}_1$  repeats  $2n$  times and obtains  $\sigma_{(3)}^* = (Y_1^*, \dots, Y_n^*, z_{(3)}^*), \dots, \sigma_{(2n+2)}^* = (Y_1^*, \dots, Y_n^*, z_{(2n+2)}^*)$ . Note that for all  $i \in \{1, \dots, n\}$ ,  $Y_i^*$  should be the same every time. We let  $(c_1, \dots, c_{2n+2})$  be the output of the random oracle queries  $H(m^* \| L_{upk}^* \| L_{ID}^* \| Y_i^*)$ .

We also denote  $u_1, \dots, u_n, r, x, y_1, \dots, y_n \in \mathbb{Z}_q^*$  such that  $g^r = R$ ,  $g^x = X$ ,  $g^{y_i} = Y_i^*$  and  $upk_{ID_i} = (g^{u_i}, X^{u_i})$  for  $i \in \{1, \dots, n\}$ . From Equation (3), we have  $\sum_{i=1}^n c_i u_i H(upk_{ID_i}, ID_i) = rz_{(i)}^* + y_1 + \dots + y_n + \sum_{i=1}^n x u_i c_i R \pmod q$  for  $i \in \{1, \dots, 2n+2\}$ . In these equations, only  $u_1, \dots, u_n, y_1, \dots, y_n, x, r$  are

unknown to  $\mathcal{S}_1$ .  $\mathcal{S}_1$  solves for these values from the above  $2n+2$  linear independent equations, and output  $x$  as the solution of the DL problem.

*Probability Analysis:* The simulation of the random oracle fails if the oracle assignment  $H(upk_{ID_i}, ID_i)$  causes inconsistency. It happens with probability at most  $q_h/q$ . Hence the simulation is successful  $q_{cert} + nq_s$  times with probability at least  $(1 - \frac{q_h}{q})^{q_{cert} + nq_s} \geq 1 - \frac{q_h(q_{cert} + nq_s)}{q}$ . Due to the ideal randomness of the random oracle, there exists a query  $H(m^* \| L_{upk}^* \| L_{ID}^* \| Y_i^*)$  with probability at least  $1 - 1/q$ .  $\mathcal{S}_1$  guesses it correctly as the point of rewind, with probability at least  $1/q_h$ . Thus the overall successful probability is  $(1 - \frac{q_h(q_{cert} + nq_s)}{q})(1 - \frac{1}{q})(\frac{1}{q_n})\epsilon$ . □

**Theorem 2 (Unforgeability against Game II Adversary).** *If a probabilistic polynomial-time forger  $\mathcal{A}_2$  has an advantage  $\epsilon$  in forging a certificate-based ring signature in an attack modelled by **Game II** after running in time  $t$  and making  $q_h$  queries to hashing queries,  $q_u$  queries to the **UserKeyGen** request oracle,  $q_{cor}$  queries to the **Corruption** extraction oracle, and  $q_s$  queries to the **Ring-Sign** oracle, then the DL problem can be solved with probability  $\epsilon' = (1 - \frac{nq_h nq_s}{q})(1 - \frac{1}{q})(\frac{1}{q_n})(\frac{1}{nq_u})\epsilon$  with time  $t' < 2(t + q_h T_h + q_u T_u + q_{cor} T_{cor} + q_s T_s)$ , where  $T_h$  (resp.  $T_u, T_{cor}, T_s$ ) is the time cost of an Hash query (resp. **UserKeyGen, Corruption, Ring-Sign** query).*

*Proof.* Assume there exists a forger  $\mathcal{A}_2$ . We construct an algorithm  $\mathcal{S}_2$  that makes use of  $\mathcal{A}_2$  to solve DL problem.  $\mathcal{S}_2$  is given a multiplicative group  $\mathcal{G}$  with generator  $g$  and order  $q$ , and a group element  $A \in \mathcal{G}$ .  $\mathcal{S}_2$  is asked to find  $\alpha \in \mathbb{Z}_q$  such that  $g^\alpha = A$ .

**Setup.**

$\mathcal{S}_2$  chooses a hash function  $H : \{0, 1\}^* \rightarrow \mathbb{Z}_q^*$  which behaves like a random oracle.  $\mathcal{S}_2$  is responsible for the simulation of this random oracle.  $\mathcal{S}_2$  randomly chooses  $x \in_R \mathbb{Z}_q^*$  and sets  $X = g^x$ , and outputs the public parameter  $\text{params} = (\mathcal{G}, q, g, X, H)$  to  $\mathcal{A}_2$ .  $\mathcal{S}_2$  also keeps two lists  $L_1, L_2$ , the functions of these lists are the same as mentioned in **Game II** in Section 2.

**UserKeyGen.**

*Query:*  $\mathcal{S}_2$  chooses a particular query  $ID'$  and assigns the public key  $upk_{ID'} = (A, A^u, \pi')$  where  $\pi'$  can be simulated by the control of the random oracle. For the other queries,  $\mathcal{S}_2$  generates the secret and public key pair according to the algorithm and stores in the table and outputs the public key.

**Corruption.**

*Query:* If the query is not  $ID'$ ,  $\mathcal{S}_2$  sets  $L_2 = L_2 \cup \{ID\}$  and returns the corresponding secret key from the table. Otherwise,  $\mathcal{S}_2$  aborts.

**ReplaceKey.**

*Query:* Suppose  $\mathcal{A}_2$  makes the query with an input

$(ID, upk'_{ID})$ ,  $\mathcal{S}_2$  first makes a **UserKeyGen** query to obtain an item  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$ , then sets  $L_1 = L_1 \cup \{ID\}$ ,  $upk_{ID} = upk'_{ID}$ , and updates the item  $(R, k, H(upk_{ID}, ID), upk_{ID}, ID)$  to record this replacement.

### Ring-Sign.

**Query:** It can be simulated in the same way as in **Game I**, which also does not require the knowledge of the secret key.

**Forgery:** Finally,  $\mathcal{A}_2$  outputs a tuple  $(m^*, L_{ID}^*, L_{upk}^*, \sigma_{(1)}^* = (Y_1^*, \dots, Y_n^*, z_{(1)}^*))$  which means  $\sigma^*$  is a ring signature on message  $m^*$  on behalf of the group specified by identities in  $L_{ID}^*$  and the corresponding public keys in  $L_{upk}^*$ . It is required that  $\mathcal{S}_2$  does not know the secret key of any member in this group,  $L_{ID}^* \cap ((L_1 \cup L_2)) = \perp$  and the ring signature  $\sigma^*$  on message  $m^*$  on behalf of the group must be valid. In addition, if  $upk_{ID'} \notin L_{upk}^*$ ,  $\mathcal{S}_2$  aborts. Otherwise,  $\mathcal{S}_2$  rewinds  $\mathcal{A}_2$  to the point  $H(m^* || L_{upk}^* || L_{ID}^* || Y_1^*)$  and supplies with a different value (corresponding to the same input value to the hash query).  $\mathcal{A}_2$  outputs another pair of signature  $(m^*, L_{ID}^*, L_{upk}^*, \sigma_{(2)}^* = (Y_1^*, \dots, Y_n^*, z_{(2)}^*))$ .  $\mathcal{S}_2$  repeats  $2n - 1$  times and obtains  $\sigma_{(3)}^* = (Y_1^*, \dots, Y_n^*, z_{(3)}^*), \dots, \sigma_{(2n+1)}^* = (Y_1^*, \dots, Y_n^*, z_{(2n+1)}^*)$ . Note that for all  $i \in \{1, \dots, n\}$ ,  $Y_i^*$  should be the same every time. We let  $(c_1, \dots, c_{2n+1})$  be the output of the random oracle queries  $H(m^* || L_{upk}^* || L_{ID}^* || Y_i^*)$ .

We also denote  $u_1, \dots, u_n, r, y_1, \dots, y_n \in \mathbb{Z}_q^*$  such that  $g^r = R$ ,  $g^{y_i} = Y_i^*$  and  $upk_{ID_i} = (g^{u_i}, X^{u_i})$  for  $i \in \{1, \dots, n\}$ . From Equation (3), we have  $\sum_{i=1}^n c_i u_i H(upk_{ID_i}, ID_i) = rz_{(i)}^* + y_1 + \dots + y_n + \sum_{i=1}^n x u_i c_i R \pmod q$  for  $i \in \{1, \dots, 2n + 1\}$ . In these equations, only  $u_1, \dots, u_n, y_1, \dots, y_n, r$ , where  $u' \in \{u_1, \dots, u_n\}$  are unknown to  $\mathcal{S}_2$ .  $\mathcal{S}_2$  solves for these values from the above  $2n + 1$  linear independent equations, and output  $u'$  as the solution of the DL problem.

**Probability Analysis:** The simulation of the random oracle fails if the oracle assignment  $H(upk_{ID_i}, ID_i)$  causes inconsistency. It happens with probability at most  $q_h/q$ . Hence the simulation is successful  $nq_s$  times with probability at least  $(1 - \frac{q_h}{q})^{nq_s} \geq 1 - \frac{nq_h q_s}{q}$ . Due to the ideal randomness of the random oracle, there exists a query  $H(m^* || L_{upk}^* || L_{ID}^* || Y_i^*)$  with probability at least  $1 - 1/q$ .  $\mathcal{S}_2$  guesses it correctly as the point of rewind, with probability at least  $1/q_h$ . In addition,  $\mathcal{S}_2$  needs to guess correctly that  $upk_{ID'} = upk_{ID_i}$ , which happens with probability  $1/nq_u$ . Thus the overall successful probability is  $(1 - \frac{nq_h nq_s}{q})(1 - \frac{1}{q})(\frac{1}{q_h})(\frac{1}{nq_u})\varepsilon$ .

□

## 5 Conclusions

We have proposed in this work a new certificate-based ring signature scheme without pairing. Our scheme is formally proved to be existentially unforgeable under the random oracle model, assuming the hardness of DL problem. According to the current MIRACL implementation, a 512-bit Tate pairing takes 20 ms whereas a 1024-bit prime modular exponentiation takes 8.8 ms. Because the proposed scheme does not need pairing computation, so it is more efficient than those which are constructed from bilinear pairing.

## Acknowledgments

The authors would like to acknowledge National Natural Science Foundation of China under Grant Nos. 61003230 and 61133016, the Chongqing Key Lab of Computer Network and Communication Technology and the Key Lab of Network Security and Cryptology, the national key scientific and technological special project of China under Grant No. 2011ZX03002-002-03, the Fundamental Research Funds for the Central Universities under Grant No. ZYGX2011J063, and the National High Technology Joint Research Program of China (863 Program) under Grant No. 2011AA010706. The authors gratefully acknowledge the anonymous reviewers for their valuable comments.

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