

# Improving the Novikov and Kiselev User Authentication Scheme

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## Abstract

Novikov and Kiselev [7] proposed an authentication method of a user from a remote autonomous object. Recently, Yang et al. [12] and Awasthi [1] have pointed out that the Novikov-Kiselev scheme is insecure against the man-in-the-middle attack. In this article, we propose an improved version of the Novikov-Kiselev scheme to overcome such vulnerability.

*Keywords:* Authentication, man-in-the-middle attack, remote autonomous object

## 1 Introduction

Whenever users want to access remote systems, they should be authenticated. Once authorized, they can then access the resources of the server. The scatter of remote systems in difference places allows more efficient and convenient access for geographically dispersed users. Lamport [5] proposed a remote password authentication scheme which authenticates remote users over an insecure channel. However, it suffers from the stolen-verifier attack if the adversary has the ability to obtain the stored verifier. It also has some practical implementation difficulties, such as the problems of high overhead and password resetting. Since then, many remote authentication schemes have been proposed [2, 4, 6, 9, 10, 11]. In 2000, Hwang and Li [3] proposed a remote user authentication scheme using smart cards. It was shown that no password table is required to keep in a system.

In general, the password authentication schemes use a pair of identity and password values to access the remote system. However, many researchers have attempted to create a remote authentication scheme without using passwords. In 2003, Novikov and Kiselev [7] proposed an algorithm of reliable authentication of the user from a remote autonomous object. Recently, Yang, Lee, and Hsiao [12] have pointed out that [7] is insecure against the man-

in-the-middle attack. Awasthi [1] came to the same conclusion too, in addition to stating that [7] is vulnerable against the man-in-the-middle attack and the reflection attack.

In this paper, we propose a more secure scheme than the Novikov-Kiselev scheme. The rest of the paper is organized as follows. In Section 2, we will briefly review the Novikov-Kiselev Scheme. We will then show Yang-Lee-Hsiao's attack and Awasthi's attack in Sections 3 and 4. In Section 5, we will propose our improved scheme. We will analyze how our scheme can be secured against the man-in-the-middle attack in Section 6. Finally, we will conclude this paper in Section 7.

## 2 Review of the Novikov-Kiselev Scheme

### 2.1 Notations

- $U_i$ ,  $O$ , and  $E$  denote the user, the remote autonomous object, and the adversary.
- $ID_i$  and  $K$  denote the user's identifier and the control command.
- $(SPK_U, SSK_U)$  and  $(SPK_O, SSK_O)$  denote a pair of session keys of  $U_i$  and  $O$ .
- $T_i$  denotes the time parameter.
- The expression  $A \longrightarrow B : X$  means  $A$  sends the message  $X$  to  $B$  via a public communication channel.

The Novikov-Kiselev authentication scheme described in [7] comes in two stages described below.

### 2.2 The First Stage

The first stage is the pre-tuning of the parameters  $U_i$  and  $O$ .  $U_i$  produces  $ID_i$  and synchronizes  $T_i$  with the remote

object. This processing is executed just once.  $ID_i$  and  $T_0$  are produced and stored in the operative memory of  $O$  by  $U_i$ .

### 2.3 The Second Stage

The second stage is the communication session between  $U_i$  and  $O$ . The procedures of this stage are as follows:

- S1.  $U_i \rightarrow O$  : signal  $S$ .  
 $U_i$  sends start request signal  $S$  to  $O$ .
- S2.  $O \rightarrow U_i$  :  $S_{PK_O}$ .  
 $O$  computes a pair of session keys  $S_{PK_O}$  and  $S_{SK_O}$  by using the RSA algorithm [8]. Next,  $O$  sends  $S_{PK_O}$  to  $U_i$ , and then turns on the timer to record the session beginning at time  $T_1$ .
- S3.  $U_i \rightarrow O$  :  $E_{S_{PK_O}}(ID_i, S_{PK_U})$ .  
 $U_i$  generates a pair of session keys  $S_{PK_U}$  and  $S_{SK_U}$ , and then encrypts  $ID_i$  and  $S_{PK_U}$  with  $S_{PK_O}$  using the encryption function of the RSA algorithm. Next, he sends it to  $O$ .
- S4.  $O \rightarrow U_i$  :  $E_{S_{PK_U}}(X)$ .  
 $O$  decrypts the received message with  $S_{SK_O}$  using the decryption function.  $O$  records  $T_2$ , which indicates the time that the message was received, and checks that  $\Delta T = T_2 - T_1$ . If  $\Delta T \geq T_0$ , then this communication is terminated. Otherwise,  $O$  checks received  $ID_i$  and stored  $ID_i$  in its own memory. If they are correct,  $O$  encrypts the message  $X$  which includes the command  $K$  with  $S_{PK_U}$ . Next,  $O$  sends it to  $U_i$  and records the time  $T_3$ .
- S5.  $U_i \rightarrow O$  :  $E_{S_{PK_O}}(newID, K)$ .  
 $U_i$  decrypts the received message with  $S_{SK_U}$ , and then obtains  $X$ . He derives the command  $K$  from the message  $X$ , and then encrypts the command  $K$  and new identifier  $newID$  with  $S_{PK_O}$ . Next,  $U_i$  sends it to  $O$ . After that,  $U_i$  records the value of  $newID$  in his memory and destroys his pair of session keys ( $S_{PK_U}, S_{SK_U}$ ) and  $S_{PK_O}$ .
- S6.  $O$  checks  $\Delta T = T_3 - T_2$ . If  $\Delta T \geq T_1$ , then this communication is terminated. If it is valid, then  $O$  decrypts the received message with  $S_{SK_O}$  and obtains the command  $K$ . Next,  $O$  replaces  $newID$  in his memory and destroys a pair of session keys ( $S_{PK_O}, S_{SK_O}$ ). Finally,  $O$  executes the command  $K$ .

### 3 Yang-Lee-Hsiao Attack

The procedures of attack are briefly described as follows:

- In Step S1, the adversary  $E$  intercepts the signal  $S$ .
- In Step S2,  $E$  intercepts  $S_{PK_O}$ .
- In Step S5,  $E$  intercepts  $E_{S_{PK_O}}(newID, K)$  and replaces it with  $E_{S_{PK_O}}(newID', K')$ , and then sends it to  $O$ .

The adversary can attack by replacing the legal identifier of the user. Therefore,  $E$  can easily supplant the legal user.

## 4 Awasthi Attack

### 4.1 The First Stage

The user  $U_i$  sends  $ID_i$  and  $T_0$  to the object  $O$ . On this communication, the adversary  $E$  intercepts the information and sends the tuple  $ID_i$  and  $T_*$  instead of the original one.

### 4.2 The Second Stage

- AS1.  $U_i \rightarrow O$  : signal  $S$ .  
 $U_i$  sends start request signal  $S$  to  $O$ .  $E$  intercepts  $S$  and sends it to  $O$ .
- AS2.  $O \rightarrow U_i$  :  $S_{PK_O}$ .  
The object  $O$  computes a pair of session keys  $S_{PK_O}$  and  $S_{SK_O}$  by using the RSA algorithm. Next,  $O$  sends  $S_{PK_O}$  to  $U_i$ , and then turns on the timer to record the session beginning at time  $T_1$ .
- AS3.  $E \rightarrow U_i$  :  $S_{PK'_O}$ .  
 $E$  intercepts  $S_{PK_O}$  and sends a self generated  $S_{PK'_O}$  to the user.
- AS4.  $U_i \rightarrow O$  :  $E_{S_{PK'_O}}(ID_i, S_{PK_U})$ .  
 $U_i$  generates a pair of session keys  $S_{PK_U}$  and  $S_{SK_U}$ , and then encrypts  $ID_i$  and  $S_{PK_U}$  with  $S_{PK'_O}$  using the encryption function of the RSA algorithm. Next, he sends it to  $O$ .
- AS5.  $E \rightarrow O$  :  $E_{S_{PK'_O}}(ID_i, S_{PK'_O})$ .  
 $E$  intercepts this encrypted message and decrypts it using  $S_{SK'_O}$ . He modifies the encrypted message as  $E_{S_{PK'_O}}(ID_i, S_{PK'_O})$  and sends it to  $O$ .
- AS6.  $O \rightarrow U_i$  :  $E_{S_{PK'_O}}(X)$ .  
 $O$  decrypts the received message with  $S_{SK_O}$  using the decryption function.  $O$  records  $T_2$ , which indicates the time that the message was received, and checks that  $\Delta T = T_2 - T_1$ . If  $\Delta T \geq T_*$ , then this communication is terminated.  $O$  encrypts the message  $X$  which includes the command  $K$  with  $S_{PK'_O}$ . Next,  $O$  sends it to  $U_i$ .
- AS7.  $E \rightarrow U_i$  :  $E_{S_{PK'_O}}(X)$ .  
 $E$  intercepts this message and decrypts  $E_{S_{PK'_O}}(X)$  using  $S_{SK'_O}$ . Next, he encrypts  $X$  with  $S_{PK'_O}$  and sends it to  $U_i$ .
- AS8.  $U_i \rightarrow O$  :  $E_{S_{PK'_O}}(newID, K)$ .  
 $U_i$  decrypts the received message with  $S_{SK_U}$ , and then obtains  $X$ . He derives the command  $K$  from the message  $X$ , and then encrypts the command  $K$  and the new identifier  $newID$  with  $S_{PK'_O}$ . Next,  $U_i$  sends it to  $O$ .

AS9.  $E \longrightarrow O : E_{S_{PK'_O}}(newID, K)$ .

$E$  intercepts the message  $E_{S_{PK'_O}}(newID, K)$  and decrypts it with  $S_{SK'_O}$ .  $E$  can get the  $newID$  and  $K$ . After that,  $E$  can make whatever modifications he wants.

## 5 Our Improved Scheme

We will make an improvement on the Novikov-Kiselev scheme by taking into account the man-in-the-middle attack of [12] and [1].  $E$  monitors their communications and then eavesdrops the messages, such as  $S$ ,  $S_{PK_O}$ , and  $E_{S_{PK_O}}(newID, K)$ . Next, he sends the replaced messages to  $O$ . Here we notice the weakness. Since  $O$  did not check the command  $K$ ,  $E$  can obtain  $S_{PK_O}$  and replace  $E_{S_{PK_O}}(newID, K)$  with  $E_{S_{PK_O}}(newID', K')$  at any time. We modify the Novikov-Kiselev scheme as follows.

### 5.1 The Synchronization Phase

The user  $U_i$  sends  $ID_i$  to the object  $O$ .  $O$  computes a pair of session keys  $(S_{PK_O}, S_{SK_O})$  by using the RSA algorithm and sends his session public key  $S_{PK_O}$  to  $U_i$ . Next, they synchronize according to time  $T_0$ . During this phase, these transactions are done via a secure communication channel. Next,  $O$  stores  $ID_i$  and  $T_0$  in its memory.

### 5.2 The Authentication Phase

A1.  $U_i \longrightarrow O : E_{S_{PK_O}}(ID_i, T_i)$ .

$U_i$  checks the current time  $T_i$  and encrypts  $ID_i$  and  $T_i$  by using session public key  $S_{PK_O}$ . Next,  $U_i$  sends start request signal to  $O$  with encrypted message  $E_{S_{PK_O}}(ID_i, T_i)$ .

A2.  $O \longrightarrow U_i : E_{S_{SK_O}}(T_{j+1}, X)$ .

When  $O$  receives the message, as a first step, it records the current time  $T_j$ , and then decrypts the received message by using session private key  $S_{SK_O}$  and obtains  $ID_i$  and  $T_i$ . Next,  $O$  compares the time  $\Delta T_x = T_j - T_i$  with  $T_0$  that is stored in the memory at the synchronization phase. If  $\Delta T_x \geq T_0$ , then this communication is terminated. If they are valid,  $O$  turns on the timer and records the session beginning time  $T_{j+1}$ , and then sends  $E_{S_{SK_O}}(T_{j+1}, X)$  to  $U_i$ .

A3.  $U_i \longrightarrow O : E_{S_{PK_O}}(T_{i+1}, T_{j+1}^*, T_{i+2}, K')$ .

When  $U_i$  receives the message, he records the current time  $T_{i+1}$  with priority, and then computes  $D_{S_{PK_O}}[E_{S_{SK_O}}(T_{j+1}, X)]$  to decrypt and obtain  $T_{j+1}^*$  and  $X$ . He can derive the command  $K'$  from the message  $X$ , and then encrypt  $T_{i+1}$ ,  $T_{j+1}^*$ ,  $T_{i+2}$  and  $K'$  with  $S_{PK_O}$ , where  $T_{i+2}$  is the new current time of  $U_i$ . Next,  $U_i$  sends it to  $O$ . After that,  $U_i$  destroys session public key  $S_{PK_O}$ .

A4. When  $O$  receives the message, it begins by recording the current time  $T_{j+2}$ , and then computes  $D_{S_{PK_O}}[E_{S_{PK_O}}(T_{i+1}, T_{j+1}^*, T_{i+2}, K')]$  to decrypt and obtain  $T_{i+1}$ ,  $T_{j+1}^*$ ,  $T_{i+2}$  and  $K'$ . Next,  $O$  checks that  $T_{j+1}^*$  equals to  $T_{j+1}$  that was sent by  $O$  in Step A2. If they are the same, then  $O$  compares the time  $\Delta T_y = T_{j+1} - T_{i+1}$  and  $\Delta T_z = T_{j+2} - T_{i+2}$  with  $T_0$ . If  $\Delta T_y \geq T_0$  or  $\Delta T_z \geq T_0$ , then this communication is terminated. If both are valid, then  $O$  checks the received commands  $K'$  and  $K$  that were sent in Step A2. If they are correct,  $O$  executes the command  $K$  and destroys a pair of session keys  $(S_{PK_O}, S_{SK_O})$ .

### 5.3 The Change ID Phase

C1. - C2. First two Steps are the same as the authentication phase A1 and A2.

C3.  $U_i \longrightarrow O :$

$E_{S_{PK_O}}(T_{i+1}, T_{j+1}^*, T_{i+2}, K', old ID_i, new ID_i)$ .

When  $U_i$  receives the message, he records the current time  $T_{i+1}$  with priority, and then computes  $D_{S_{PK_O}}[E_{S_{SK_O}}(T_{j+1}, X)]$  to decrypt and obtains  $T_{j+1}^*$  and  $X$ . He can derive the command  $K'$  from the message  $X$ , and then encrypts  $T_{i+1}$ ,  $T_{j+1}^*$ ,  $T_{i+2}$ ,  $K'$ ,  $old ID_i$  and  $new ID_i$  with  $S_{PK_O}$ , where  $T_{i+2}$  is the new current time of  $U_i$ . Next,  $U_i$  sends it to  $O$ .

C4.  $O \longrightarrow U_i : E_{S_{SK_O}}(T_k, new ID_i^*)$ .

When  $O$  receives the message, it begins by recording the current time  $T_{j+2}$ , and then computes  $D_{S_{SK_O}}[E_{S_{PK_O}}(T_{i+1}, T_{j+1}^*, T_{i+2}, K', old ID_i, new ID_i)]$  to decrypt and obtain  $T_{i+1}$ ,  $T_{j+1}^*$ ,  $T_{i+2}$  and  $K'$ . Next,  $O$  checks that  $T_{j+1}^*$  equals to  $T_{j+1}$  that was sent by  $O$  in Step C2. If they are correct, then  $O$  compares the time  $\Delta T_y = T_{j+1} - T_{i+1}$  and  $\Delta T_z = T_{j+2} - T_{i+2}$  with  $T_0$ . If  $\Delta T_y \geq T_0$  or  $\Delta T_z \geq T_0$ , then this communication is terminated. If both are valid, then  $O$  checks the received commands  $K'$  and  $K$  that was sent in Step C2. If they are correct,  $O$  changes old  $ID_i$  to new  $ID_i$ . After that,  $O$  encrypts  $new ID_i^*$  and  $T_k$  with  $S_{SK_O}$  and sends it to  $U_i$ , where  $T_k$  is the new current time of  $O$ . Next,  $O$  destroys a pair of session keys  $(S_{PK_O}, S_{SK_O})$ .

C5. When  $U_i$  receives the message, he records the current time  $T_{k+1}$  with priority, and then decrypts the message with  $S_{PK_O}$  and obtains  $T_k$  and  $new ID_i^*$ . Next,  $U_i$  compares the time  $\Delta T_c = T_{k+1} - T_k$  with  $T_0$  that was stored in the memory at the synchronization phase. If  $T_0 \geq \Delta T_c$  and  $new ID_i^* = new ID_i$ , then  $U_i$  destroys session public key  $S_{PK_O}$ . If they are invalid,  $U_i$  considers that this communication was forged and he sets up  $new ID_i'$  and a pair of new session keys  $(S'_{PK_O}, S'_{SK_O})$  again through the synchronization phase.

## 6 Security Analysis

In this section, we briefly explain why the proposed scheme is secure against the man-in-the-middle attack and also more efficient.

In the synchronization phase,  $U_i$  and  $O$  transact the user's session public key  $S_{PK_O}$  and synchronize time  $T_0$  via a secure communication channel. Even though  $E$  intercepts the start signal and  $E_{S_{PK_O}}(ID_i, T_i)$  in Step A1, he cannot change or replace this information, since he neither knows nor is able to intercept  $S_{PK_O}$ . This can prevent the man-in-the-middle attack of [12] and [1].

In addition, we substitute the encrypted new  $ID_i$  and  $T_k$  with  $S_{PK_O}$  in our scheme in order to improve security. If  $E$  changes the value of new  $ID_i$  or  $K$  to his own value new  $ID_i^*$  or  $K^*$ , respectively, it should be known to  $U_i$  in Step C5. This is because  $U_i$  compares the time  $\Delta T_c = T_{k+1} - T_k$  with  $T_0$  that was stored in the memory at the synchronization phase. If  $\Delta T_c \geq T_0$  or new  $ID_i^* \neq new ID_i$ , then this communication is terminated. Therefore,  $E$  cannot obtain new  $ID_i$  without  $S_{PK_O}$ .

In the Yang-Lee-Hsiao scheme,  $E$  can eavesdrop and replace the message  $E_{S_{PK_O}}(newID, K)$  with  $E_{S_{PK_O}}(newID', K')$  in Step S5. It is impossible to replace this message without knowing  $S_{PK_O}$  in our scheme. However, even though it occurs in our scheme,  $O$  checks the time difference  $\Delta T_y = T_{j+1} - T_{i+1}$  and  $\Delta T_z = T_{j+2} - T_{i+2}$  with  $T_0$  in Step C4. If  $\Delta T_y \geq T_0$  or  $\Delta T_z \geq T_0$ , then this communication is terminated. Moreover,  $U_i$  checks that the time  $T_0 \geq \Delta T_c$  and new  $ID_i^* = new ID_i$  in Step C5. If they are invalid,  $U_i$  will find out that this communication was forged and he will set up new  $ID_i'$  and a pair of new session keys ( $S'_{PK_O}, S'_{SK_O}$ ) again through the synchronization phase.  $E$  does not have enough time to eavesdrop and to perform replacement within  $\Delta T_y$  and  $\Delta T_z$ . Therefore,  $E$  cannot attack our scheme.

Thus, our proposed scheme is more secure against Yang-Lee-Hsiao's man-in-the-middle attack.

## 7 Cost Comparisons

We compare the computational cost of the Novikov-Kiselev scheme with our proposed scheme in Table ???. We define some notations and show the comparative results as follows.

- DT: Data Transmission,
- E/D: Encryption/ Decryption,
- $SK_O/SK_U$ : A pair of Session Keys of the Object/ User.

Since the command is formalized and  $O$  does not recheck command  $K'$  in [7],  $E$  is able to replace  $E_{PK_O}(newID, K)$  with  $E_{PK_O}(newID', K')$  at any time. Moreover, whenever  $U_i$  wants to use  $O$ ,  $U_i$  not only has to change his old  $ID_i$ , but also has to create and record

Table 1: Cost comparisons

Novikov-Kiselev Scheme	Our Authentication Scheme	Our Change ID Scheme
$5DT + 3E + 3D$	$3DT + 3E + 3D$	$4DT + 4E + 4D$
$SK_O$	$SK_O$	$SK_O$
$SK_U$	$X$	$X$

new  $ID_i$  in his memory. However, our scheme is able to reduce the time and the storage that were needed in the presence of new  $ID$ , if  $U_i$  does not want to change his old  $ID_i$ . In the real world, not many people would want to change their  $IDs$  frequently. Furthermore, our scheme does not need to generate and store a pair of session keys for the users.

## 8 Conclusions

In this paper, we propose a new scheme that overcomes the weakness of the Novikov-Kiselev scheme. Our scheme is more efficient; it requires less time and storage space provided that the users do not change their  $IDs$ .

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